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公司帳戶開戶表格 Corporate Account Opening Form

藍山證券有限公司 Bluemount Securities Limited Office: Flat C & D, 12/F, Hang Seng Tsuen Wan Building, 289 Sha Tsui Road, Tsuen Wan, Hong Kong SFC Licensed Corporation 證監會核准持牌法團(CE#: BHR496)	帳戶號碼 A/C no. 帳戶名稱 A/C Name
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A. 客戶個人資料 Personal Information of Client (Please complete in BLOCK LETTERS 請用正楷填寫)

本人 _____ 代表名稱列於本表格之公司，現向藍山證券有限公司申請開立下列帳戶。
 I _____, on behalf of the above named company, hereby apply to set up with Bluemount Securities Limited the below trading account(s).
 客戶簽署 Signature of Client: _____

公司姓名 Company Name <small>(必須出示公司註冊證明文件副本 Required to present the copy of the Business Registration)</small>	中文 Chinese 英文 English	交易帳戶 Trading Account(s) 通訊語言 Language Preferred	<input type="checkbox"/> 現金託管帳戶(港股) Custodian A/C-HK Stock <input type="checkbox"/> 保證金帳戶(港股) Margin A/C-HK Stock <input type="checkbox"/> 保證金帳戶(環球股票) Margin A/C-Global Stock <input type="checkbox"/> 繁體中文 Traditional Chinese <input type="checkbox"/> 英文 English
註冊日期 Date of Incorporation YYY年 ___月 ___日 ___	註冊地點 Place of Incorporation	註冊證書號碼 C.I. No.	香港商業登記證號碼 B.R. No. in Hong Kong
公司性質 (即私人/公共有限公司) Nature of Entity <small>(i.e. private or public limited company, etc)</small>	業務性質 Nature of Business		

B. 其他客戶資料 Personal Information of Client

1. 聯絡資料 Contact Information

註冊地址 Registered Address	公司電話號碼 Office No.	
主要辦公室地址 Principal Business Address	傳真號碼 Fax No.	
電郵地址 Email Address	流動電話號碼 Mobile No.	

證券帳戶日結單、月結單及重要通訊送遞至：
 Stock A/C Daily and Monthly Statements and Correspondence to: 註冊地址 Registered Address 主要辦公室地址 Principal Business Address 電郵地址 Email Address

#客戶不同意本公司使用貴司資料，經以下渠道作直接促銷 (請在格內填上「X」)

電郵 By email 電話 By phone 郵寄通訊 By post

*如貴司沒有在以上任何格內加上「X」號顯示貴司的選擇，即代表貴司並不拒絕本公司任何形式的直接促銷。貴司以上的選擇適用於本公司的《個人資料收集聲明》上所載的服務、產品及標的類別的直接促銷，詳情請貴司參考上述聲明。If you return this Form without crossing any of the above boxes, it means that you do not object to any form of the company's direct marketing. Your above choice applies to the direct marketing of the classes of services, products and subjects as set out in the company's "Personal Information Collection Statement". Please refer to the aforesaid statement for details.

2. 銀行戶口資料 Bank Account Information (

<input type="checkbox"/> 港元 HK Dollar	銀行名稱 Name of Bank	銀行帳戶號碼 Bank Account No.
<input type="checkbox"/> 美元 US Dollar	銀行名稱 Name of Bank	銀行帳戶號碼 Bank Account No.
<input type="checkbox"/> 人民幣 RMB	銀行名稱 Name of Bank	銀行帳戶號碼 Bank Account No.

如屬本公司聯名帳戶，支票付予Payableto 聯名Joint Name 第一戶口持有人1st A/C Holder 第二戶口持有人2nd A/C Holder in case of Joint Account

3. 全部董事資料 All Director(s) Information

4. 股東資料 Shareholder(s) Information

董事姓名 Name(s) of Director(s)	身份證號碼/ 護照號碼 ID Card No./ Passport No.	主要股東 Major Shareholder(s)	身份證號碼/ 護照號碼 ID Card No./ Passport No.	持股比例 Shareholding %
1				%
2				%
3				%

若空格不敷使用，請另紙填寫，並予以簽署。Please attach extra sheets and sign if necessary



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5. 授權操作帳戶人資料 Authorized Person(s) Information

授權人簽署 Authorized Person Signature			
姓名 Name			
香港身份證或護照號碼 Hong Kong ID or Passport No.			
聯絡電話 Contact No			
現時住址 Current Residence Address			

簽署指示 Signing Instruction : 單簽 Anyone can sign singly 任何兩人同簽 Any two must sign jointly 其他 Others : _____

C. 客戶投資經驗及目標 Client Investment Experience and Objective

投資經驗 Investment Experience	<input type="checkbox"/> 沒有 NIL <input type="checkbox"/> 少於1年 Less than a year <input type="checkbox"/> 1-5年 years <input type="checkbox"/> 6-10年 years <input type="checkbox"/> 10年以上 More than 10 years	估計投資金額 Estimated Investment Amount	<input type="checkbox"/> 少於港幣\$100,000 <input type="checkbox"/> 港幣\$100,000-港幣\$500,000 <input type="checkbox"/> 港幣\$500,001-港幣\$1,000,000 <input type="checkbox"/> 超過港幣\$1,000,000
曾投資的產品 Experienced Product(s)	<input type="checkbox"/> 證券 Stocks <input type="checkbox"/> 認股權證 Warrants <input type="checkbox"/> 期貨/期權 Futures/Options <input type="checkbox"/> 外匯/黃金 Forex/Bullion <input type="checkbox"/> 債券 Bonds <input type="checkbox"/> 基金 Funds <input type="checkbox"/> 其他 Others: _____ <input type="checkbox"/> 沒有 NIL	投資目標 Investment Objectives	<input type="checkbox"/> 短期 Short term <input type="checkbox"/> 中線 Medium Term <input type="checkbox"/> 長線 Long Term <input type="checkbox"/> 資本增值 Capital Appreciation <input type="checkbox"/> 股息回報 Dividend Yield <input type="checkbox"/> 對沖 Hedging <input type="checkbox"/> 投機 Speculation <input type="checkbox"/> 其他 Others: _____

D. 客戶財政資料 Client Financial Information

法定股本 Authorised Capital		發行股本 Paid-up Capital	
淨資產值 Net Asset Value	根據最近的審計賬目 Based on latest audited accounts as at ____/____ 年度 <input type="checkbox"/> <HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 - HK\$5,000,000 <input type="checkbox"/> HK\$5,000,001 - HK\$10,000,000 <input type="checkbox"/> >HK\$10,000,000	除稅後盈利 Profit after Tax	根據最近的審計賬目 Based on latest audited accounts as at ____/____ 年度 <input type="checkbox"/> <HK\$500,000 <input type="checkbox"/> HK\$500,001 - HK\$1,000,000 <input type="checkbox"/> >HK\$1,000,000 <input type="checkbox"/> 虧損, 數額為 Loss with amount at HK\$ _____

若空格不敷使用，請另紙填寫，並予以簽署。Please attach extra sheets and sign if necessary



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E. 客戶聲明 Declaration by Client

身份披露 Disclosure of Identity (監管機構規定 Regulatory Requirements)

客戶是否為證券及期貨事務監察委員會定義之持牌法團或註冊機構? Is client a licensed corporation registered with the Securities and Futures Commission or registered institution under the Banking Ordinance? 如是 If yes, 中央編號 CE No.: _____	<input type="checkbox"/> 否 No <input type="checkbox"/> 是 Yes <input type="checkbox"/> HKMA <input type="checkbox"/> SFC	
客戶是否以中介人身份操作帳戶? Are you acting as an intermediary for the account? 如是, 戶口的最終權益擁有人是 If yes, details of the ultimate beneficial owner(s) is/are: 名稱 Name: _____ 身份證號碼/護照號碼 No. of ID/Passport: _____ 簽發機構 Issue Authority: _____ 地址 Address: _____	<input type="checkbox"/> 否 No <input type="checkbox"/> 是 Yes	
客戶之任何董事、股東或授權人士是否藍山金融集團的客戶? Is any director, shareholder or authorized person of the client a client of Bluemount Financial Group? 如是 If yes, 帳戶號碼 Account No.: _____ 帳戶名稱 Account Name: _____	<input type="checkbox"/> 否 No <input type="checkbox"/> 是 Yes	
客戶之任何董事、股東或授權人士是否藍山金融集團的職員? Is any director, shareholder or authorized person of the client a staff of Bluemount Financial Group? 如是 If yes, 職員名稱 Name: _____ 職位 Position: _____		
客戶之任何董事、股東或授權人士與藍山金融集團職員是否有親戚關係? Does any director, shareholder or authorized person of the client have any relationship with the employee of Bluemount Financial Group? 如是 If yes, 職員名稱 Name: _____ 關係 Relationship: _____	<input type="checkbox"/> 否 No <input type="checkbox"/> 是 Yes	
客戶是否在其他持牌法團/註冊機構擁有戶口? Do you have accounts with other licensed corporation or registered institution? 如是 If yes, 持牌法團/註冊機構名稱 Name of licensed corporation or registered institution 戶口類別 A/C Type : <input type="checkbox"/> 現金 Cash <input type="checkbox"/> 保證金 Margin <input type="checkbox"/> 股票期權 Stock Options <input type="checkbox"/> 期貨 Futures <input type="checkbox"/> 網上交易帳戶 Internet <input type="checkbox"/> 資產管理 Asset Management	<input type="checkbox"/> 否 No <input type="checkbox"/> 是 Yes	
客戶之任何董事、股東或授權人士是否為香港聯合交易所參與者或任何根據證券及期貨條例註冊持牌法團或銀行業條例之註冊機構之僱員 (不管閣下現時是否為證監會持牌代表/金管局註冊人士)? Is any director, shareholder or authorized person of the client an employee of any participant of the Stock Exchange of Hong Kong, a licensed corporation under the Securities and Futures Ordinance, or a registered institution under the Banking Ordinance (whether he/she is currently a SFC licensed/HKMA registered person or not)? 如是 If yes, 參與者/持牌法團/註冊機構名稱: Participant/Licensed Corporation: _____ 職位 Position: _____ /Registered Institution Name 中央編號 CE No.:(Employer 僱主) _____/(Employee 僱員) _____ (If applicable 如適用) (必須出示所屬持牌法團或註冊機構之授權信 Consent Letter from licensed corporation or registered institution must be provided)	<input type="checkbox"/> 否 No <input type="checkbox"/> 是 Yes	
客戶之任何董事、股東或授權人士是否擔任重要公職, 例如重要政客或高級政府官員、司法或軍事官員、國有企業高級行政人員及重要政黨幹事或其配偶、伴侶、子女、父母或子女的配偶或伴侶, 或有密切關係人士? Is or has been the client entrusted with a prominent public function, including a head of state, head of government, senior politician, senior government, judicial or military official, senior executive of a state-owned corporation and an important political party official or a spouse, a partner, a child, a parent, or a spouse or a partner of a child of the client or a close associate of the client?	<input type="checkbox"/> 否 No <input type="checkbox"/> 是 Yes	



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F. 客戶確認及簽署 Acknowledgement and Execution by Client

1. 吾等(在下面簽署的客戶)確認藍山證券有限公司(下稱“藍山證券”)已按吾等選擇的語言(英文或中文)提供了客戶協議書及風險披露聲明之副本。
2. 吾等(在下面簽署的客戶)謹此聲明在本開戶表格所提供之資料全部為真實、完整及正確，除非藍山證券接到更改有關本開戶表格內容之書面通知，否則藍山證券有權完全依賴此等資料及聲明作一切用途。藍山證券或其代理獲授權可隨時就核對本開戶表格資料事宜，與任何人包括吾等之銀行、經紀或任何信用機構進行諮詢。
3. 吾等(在下面簽署的客戶)現申請開立吾等在本開戶表格頁首選擇之帳戶及服務類別。吾等確認已閱讀並明白附上之藍山證券有限公司客戶協議書〈該協議書〉的所有有關係款並且接受及同意受可不時被修改的該協議書之條款所約束，吾等在此以書面通知、及確認並授權藍山證券行使在該協議書內的全部常設授權。
4. 吾等(在下面簽署的客戶)進一步確認藍山已經邀請吾等閱讀風險披露聲明，提出問題及徵求獨立的意見(如吾等有此意願)。
5. 吾等(在下面簽署的客戶)已仔細閱讀、完全理解並同意接受及遵守客戶協議書內之個人資料收集聲明。

1. We, the undersigned client(s) hereby confirm that We have been provided the Client Agreement (“the Agreement”) of Bluemount Securities Limited (“Bluemount Securities”) and the Risk Disclosure Statements (receipt of a copy whereof is hereby acknowledged by us).
2. We, the undersigned client(s) hereby confirm and represent that the information on this Account Opening Form is true, complete and correct. Bluemount Securities is entitled to rely fully on such information and representations for all purposes, unless Bluemount Securities receives notice in writing of any change. Bluemount Securities or any of its agents is hereby authorized at any time to contact anyone, including our company or any credit agency, for the purpose of verifying the information provided on this Account Opening Form.
3. We, the undersigned client(s) hereby apply to open the types of account(s) and service(s) which We choose on the front page of this Account Opening Form and confirm that We have read and understand the relevant provisions of the attached Client Agreement and accept and agree to be bound by the Agreement as the same may be amended from time to time, and We hereby give you notice in writing that we confirm and authorize Bluemount Securities to exercise all the powers of the Standing Authorities under the Client Agreement.
4. We, the undersigned client(s) further acknowledge and confirm that We have been invited by Bluemount Securities to read the Risk Disclosure Statements, ask questions and take independent advice, if We wish.
5. We, the undersigned client(s) have carefully read, fully understood and agreed to accept and be bound by the Personal Information Collection Statement of the Agreement.

Date 日期：年 YYYY ____ 月 MM ____ 日 DD ____

授權代表簽署 Signed by Authorized Person	公司印章 Company Chop
授權代表姓名 Name of Authorized Person	
職位 Position	



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G. 自動交換財務賬戶資料協定(“AEOI”)自我證明

Automatic Exchange of Financial Account Information (“AEOI”) Self Declaration

重要提示 Important Notes :

- 根據香港《稅務條例》(第112章)，這是由吾(等)(下稱「本公司」)向藍山提供的自我證明表格，以作自動交換財務賬戶資料用途。藍山可把收集所得的資料交給稅務局，稅務局會將資料轉交到其他稅務管轄區的稅務當局
According to the Inland Revenue Ordinance (Cap. 122) of Hong Kong, this is a self-certification form provided by us (the “Company”) to Bluemount for the purpose of automatic exchange of financial account information. The data collected may be transmitted by Bluemount to the Inland Revenue Department for transfer to the tax authority of another jurisdiction(s).
- 如本公司的稅務居民身分有所改變，應盡快將所有變更通知藍山。
The Company should report all changes in its tax residency status to Bluemount

A. 客戶類別 Customer Type

在其中一個適當的方格內加上剔號，並提供有關資料。 Tick one of the appropriate boxes and provide the relevant information.

財務機構 Financial Institution	<input type="checkbox"/> 託管機構、存款機構或指明保險公司 Custodial Institution, Depository Institution or Specified Insurance Company (FINA) <input type="checkbox"/> 投資實體，但不包括由另一財務機構管理(例如：擁有酌情權管理投資實體的資產)並位於非參與稅務管轄區的投資實體 Investment Entity, except an investment entity that is managed by another financial institution (e.g. with discretion to manage the entity's assets) and located in a non-participating jurisdiction (FINB)
主動非財務實體 Active NFE	<input type="checkbox"/> 客戶的股票經常在_____ (一個具規模證券市場)進行買賣 The stock of the customer is regularly traded on _____ which is an established securities market (ANFA) <input type="checkbox"/> _____的有關連實體，而該有關連實體的股票經常在_____ (一個具規模證券市場)進行買賣 Related entity of _____, the stock of which is regularly traded on _____ which is an established securities market (ANFB) <input type="checkbox"/> 政府實體、國際組織、中央銀行或由前述的實體全權擁有的其他實體 NFE is a governmental entity, an international organization, a central bank, or an entity wholly owned by one or more of the foregoing entities (ANFC) <input type="checkbox"/> 除上述以外的主動非財務實體 Active NFE other than the above (ANFD) (請說明 Please specify: _____)
被動非財務實體 (請填寫III部) Passive NFE (Please complete Part III)	<input type="checkbox"/> 位於非參與稅務管轄區並由另一財務機構管理的投資實體 Investment entity that is managed by another financial institution and located in a non-participating jurisdiction (PNFA) <input type="checkbox"/> 不屬主動非財務實體的非財務實體(請填寫III部) NFE that is not an active NFE (PNFB)

B. 控權人 (如客戶類別是被動非財務實體，填寫此部) (不限於8個)

Controlling Persons (Complete this part if the customer type is a passive NFE) (Not restricted to 8)

就本公司填寫所有控權人的姓名在列表內。就法人實體，如行使控制權的並非自然人，控權人會是該法人實體的高級管理人員。 Indicate the name of all controlling person(s) of the “company” in the table below. If no natural person exercises control over an entity which is a legal person, the controlling person will be the individual holding the position of senior managing official.

每名控權人須分別填寫一份自動交換財務賬戶資料協定(“AEOI”)自我證明表格 - 控權人。 Complete Automatic Exchange of Financial Account Information (“AEOI”) Self-Certification Form – Controlling Person for each controlling person.

(1)	(5)
(2)	(6)
(3)	(7)
(4)	(8)

C. 居留司法管轄區及稅務編號或具有等同功能的識辨編號 (以下簡稱「稅務編號」) * Jurisdiction of Residence and Tax Identification Number or its Functional Equivalent (“TIN”)*

提供以下資料，列明 (a) 本公司的居留司法管轄區，亦即本公司的稅務管轄區 (香港包括在內) 及 (b) 該居留司法管轄區發給本公司的稅務編號。列出所有 (不限於5個) 居留司法管轄區。 Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the “company” is a resident for tax purposes and (b) the account holder’s Tax Identification Number (TIN) for each jurisdiction indicated. Indicate all (not restricted to five) jurisdictions of residence.

如本公司是香港稅務居民，稅務編號是其香港商業登記號碼。 If the “company” is a tax resident of Hong Kong, the TIN is the Hong Kong Business Registration Number.

如果本公司並非任何稅務管轄區的稅務居民 (例如：它是財政透明實體)，填寫實際管理機構所在的稅務管轄區。 If the “company” is not a tax resident in any jurisdiction (e.g. fiscally transparent), indicate the jurisdiction in which its place of effective management is situated.



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如沒有提供稅務編號，必須填寫合適的理由：If a TIN is unavailable, provide the appropriate reason A, B or C:

理由A – 本公司的居留司法稅務管轄區並沒有向其居民發出稅務編號。

Reason A – The jurisdiction where the “company” is a resident for tax purposes does not issue TINs to its residents.

理由B – 本公司不能取得稅務編號。如選取這一理由，解釋本公司不能取得稅務編號的原因。

Reason B – The “company” is unable to obtain a TIN. Explain why the “company” is unable to obtain a TIN if you have selected this reason.

理由C – 本公司毋須提供稅務編號。居留司法管轄區的主管機關不需要本公司披露稅務編號。

Reason C – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

居留司法管轄區 Jurisdiction of Residence	稅務編號 TIN	如沒有提供稅務編號， 填寫理由A、B或C Enter Reason A, B or C if no TIN is available	如選取理由B，解釋不能取得稅務編號的原因 Explain why the account holder is unable to obtain a TIN if you have selected Reason B
(1)			
(2)			
(3)			
(4)			
(5)			

吾(等)知悉及同意，藍山可根據《稅務條例》(第112章)有關交換財務賬戶資料的法律條文，(a) 收集本表格所載資料並可備存作自動交換財務賬戶資料用途及(b) 把該等資料和關於賬戶持有人及任何須申報賬戶的資料向香港特別行政區政府稅務局申報，從而把轉交到賬戶持有人的居留司法管轄區的稅務當局。

I(We) acknowledge and agree that (a) the information contained in this form is collected and may be kept by Bluemount for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by Bluemount to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

吾(等)證明，就與本表格所有相關的賬戶，吾(等)獲本公司授權簽署本表格。I/We certify that I/we am/are authorized to sign for the “company” of all the account(s) to which this form relates.

吾(等)承諾，如情況有所改變，以致影響本表格第1部所述的實體的稅務居民身分，或引致本表格所載的資料不正確，吾(等)會通知藍山，並會在情況發生改變後30日內，向銀行提交一份已適當更新的自我證明表格。I/We undertake to advise Bluemount of any change in circumstances which affects the tax residency status of the entity identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide Bluemount with a suitably updated self-certification form within 30 days of such change in circumstances.

警告：根據《稅務條例》第80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第3級(即\$10,000)罰款。WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).



處理職員 Processed by		核對職員 Checked by	
職員號碼 Staff No.		職員號碼 Staff No.	

H. 見證客戶簽署及身份證明文件驗證 *Certification of Client Signature and Identity Proof*

若客戶/聯名客戶並非在藍山證券有限公司之指定僱員面前簽立本開戶表格或本開戶表格並非連同恰當的支票[^]一併遞交，則以下部分應由指定人士，包括其他證監會持牌人或註冊人、太平紳士或專業人士例如銀行分行經理、執業會計師、律師或公證人，簽署驗證。而該指定人士需提供其已簽署之身份證明文件副本及專業資格證明文件之副本。

If this Account Opening Form is not executed in front of Bluemount Securities Limited's SFC licensed employee or is not submitted with an appropriate cheque[^], a specified person, including any SFC licensed or registered person, a Justice of Peace, a Branch Manager of a bank, Certified Public Accountant or Notary Public, should be required to sign below. The specified person should provide us with self-certified ID copy and copy of the professional qualification documents.

Date 日期：年 YYYY ____ ____ ____ 月 MM ____ ____ 日 DD ____ ____

簽署及驗證 Signed and Certified by	姓名 Name
	所屬專業及職銜 Profession /Title
聯絡電話 Contact No	聯絡地址 Address

[^]客戶在香港的持牌銀行開立的帳戶並由客戶所簽發(該簽名須與此開戶表上的客戶簽名相符)並載有客戶在其身份證明文件上所顯示的姓名的劃線支票，而該支票抬頭人須為“藍山證券有限公司”及其數額不得少於 10,000 港元。客戶被批核的新帳戶必須待支票兌現後才可使用。A crossed cheque bearing your name shown in your identity document and drawn on your account with a licensed bank in Hong Kong with your same signature(s) as shown on this Form in favour of "Bluemount Securities Limited" for not less than HKD10,000. Your approved new account will not be activated until the cheque is cleared.

I. 職員聲明 *Declaration by Staff*

本人，以註冊人身份，確認本人已按照上述客戶所選擇的語言提供風險披露聲明之副本及邀請客戶閱讀該風險披露聲明、提出問題及徵求獨立意見(如客戶有此意願)。I, a registered person, declare that I have provided the above client with a copy of the Risk Disclosure Statement in a language of the Client's choice and invited the client to read the Risk Disclosure Statement, ask questions and take independent advice if the client so wishes.
#以上客戶簽署乃於本人面前簽立。The above Client signature(s) was/were made in my presence.

下述簽署人士謹此驗證上述客戶簽立此文件(連同客戶協議書)及其有關的身份證明文件：

The undersigned person hereby certify the signing of this Account Opening Form (together with the Client Agreement) by the above Client(s) and sighting of related ID documents of such client(s)

簽署及驗證 Signed and Certified by	姓名 Name
	中央編號 CE no.
##向客戶確認 Confirmation with client 確認職員姓名 _____	
時間Time:時HH _____ 分MM _____	

- 完 End -



處理職員 Processed by		核對職員 Checked by	
職員號碼 Staff No.		職員號碼 Staff No.	

職員專用

核對清單

- 客戶年滿 18 歲
- 身份證/護照副本
- 最近三月附客戶姓名之住址證明
- 核實簽署之支票 (如需要)
- 保留支票副本
- 香港持牌銀行支票
- 抬頭人為藍山證券有限公司
- 銀碼不少於 HK\$10,000
- 支票上之客戶名稱與本表格相符
- 支票上之客戶簽署與本表格相符
- W8BEN 表格 (美股交易適用)
- 投資者分類確認回條 (衍生產品)

經簽署作實之公司文件副本

- 通過在藍山證券有限公司及指派獲授權人士操作戶口的董事會決議案
- 公司註冊證書
- 商業登記證書
- 公司組織章程及細則
- 最近之財政報告
- 所有董事及授權人士之身份証
- 重覆帳戶/黑名單帳戶
- 其他

開戶批核 <hr/> 批核人名稱 <hr/> 日期 _____ 年 _____ 月 _____ 日	備註 Remarks
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